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Effective on 12/08/04.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

## FEE TRANSMITTAL For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

### Complete if Known

Application Number	10/828,701
Filing Date	April 21, 2004
First Named Inventor	Kazuro OKUZAWA, et al.
Examiner Name	Phylesha L. Dabney
Art Unit	2615
Attorney Docket No.	MAT-8173US1

TOTAL AMOUNT OF PAYMENT (\$)**180.00**

### METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: **18-0350** Deposit Account Name: **RatnerPrestia**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**  
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	
Utility	300	150	500	250	200	100	—
Design	200	100	100	50	130	65	—
Plant	200	100	300	150	160	80	—
Reissue	300	150	500	250	600	300	—
Provisional	200	100	0	0	0	0	—

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)  
Each independent claim over 3 (including Reissues)  
Multiple dependent claims

Small Entity	
Fee (\$)	Fee (\$)
50	25
200	100
360	180

**Total Claims** **Extra Claims** **Fee (\$)** **Fee Paid (\$)** **Multiple Dependent Claims**

— - 20 or HP = — x \$50. = 0 **Fee (\$)** **Fee Paid (\$)**  
HP = highest number of total claims paid for, if greater than 20 \$360. \$0.

**Indep. Claims** **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

— - 3 or HP = — x \$200. = 0  
HP = highest number of independent claims paid for, if greater than 3

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets** **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**  
— - 100 = — / 50 = — (round up to a whole number) x \$250. = —

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): **Supplemental Information Disclosure Statement**

**Fees Paid (\$)**

**\$180.00**

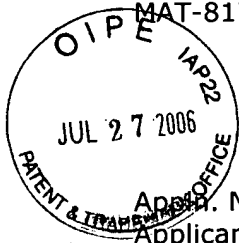
SUBMITTED BY

Complete (if applicable)

Signature	Registration No. Attorney/Agent	34,515	Telephone	(610) 407-0700
Name (Print/Type)	Lawrence E. Ashery		Date	July 25, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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MAT-8173US1

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

App. No.: 10/828,701  
Applicants: Kazuro OKUZAWA, et al.  
Filed: April 21, 2004  
Title: ELECTRO-ACOUSTIC TRANSDUCER AND  
METHOD OF MANUFACTURING THE SAME  
T.C./A.U.: 2615  
Examiner: Phylesha Larvinia Dabney  
Confirmation No.: 5384  
Docket No.: MAT-8173US1

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

***Mail Stop Appeal Brief - Patents***

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and/or PTO/SB/08b form submitted herewith. A copy of the references listed on the PTO/SB/08a form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following References are not in the English language:

- 1) WO 98/34992
- 2) JP 54-046032

07/28/2006 SHASSEN1 00000021 10828701

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180.00 DP

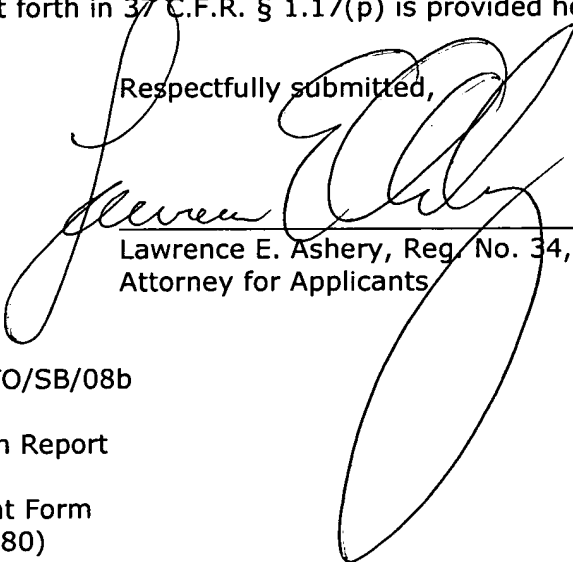
References 1) and 2) have an English abstract thereof attached to each copy of the reference submitted herewith and were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609(a)iii, second paragraph.

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

The undersigned hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

The required fee set forth in 37 C.F.R. § 1.17(p) is provided herewith.

Respectfully submitted,

  
Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

LEA/fp


Enclosures: PTO/SB/08a and PTO/SB/08b  
(#4) References  
International Search Report  
Transmittal Form  
Credit Card Payment Form  
Fee Transmittal (\$180)

Dated: July 25, 2006

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

July 25, 2006 



Substitute for ~~Form 44-3886~~ TO

*(Use as many sheets as necessary)*

**SHEET 1 of 2**

**Complete if Known**

Application Number	10/828,701
Filing Date	April 21, 2004
First Named Inventor	Kazuro Okuzawa, et al.
Art Unit	2615
Examiner Name	Phylesha L. Dabney
Attorney Docket No.	MAT-8173US1

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)				
		EP 0 790 752 A	08/20/97	MATSUSHITA ELEC IND CO LTD		<input type="checkbox"/>
		WO 98/34992 (with English abstract)	08/13/98	SIEMENS AKTIENGESELLSC HAFT		<input type="checkbox"/>
		JP 54-046032 A (with English abstract)	04/11/79	MATSUSHITA ELEC IND CO LTD		<input type="checkbox"/>
		GB 2 119 810 A	11/23/83	W R GRACE AND CO		
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for Form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

**SHEET 2 of 2**

**Complete if Known**

Application Number	10/828,701
Filing Date	April 21, 2004
First Named Inventor	Kazuro Okuzawa, et al.
Art Unit	2615
Examiner Name	Phylesha L. Dabney
Attorney Docket No.	MAT-8173US1

## NON-PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

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